

F.No.K-16015/03/2016/AMRUT-II

Government of India
Ministry of Urban Development
AMRUT Division

Nirman Bhawan, New Delhi

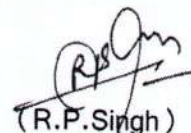
Dated: 16th August, 2016

OFFICE MEMORANDUM

Subject: Minutes of 12th Meeting of Apex Committee under the Atal Mission for Rejuvenation and Urban Transformation (AMRUT) regarding.

The undersigned is directed to forward herewith the minutes of 12th meeting of Apex Committee held under the Atal Mission for Rejuvenation and Urban Transformation (AMRUT) on 03.08.2016 for information and necessary action.

Encls. As above.



(R.P. Singh)

Under Secretary (AMRUT)

Telephone: 011-23061407

To,

1. Secretary (Department of Expenditure).
2. Secretary (Department of Economic Affairs).
3. Principal Advisor (HUD), NITI Ayog
4. Secretary (Drinking Water & Sanitation).
5. Secretary (Housing & Urban Poverty Alleviation).
6. Secretary (Environment, Forest & Climate Change).
7. Additional Secretary (UD), MoUD.
8. Additional Secretary (SC), MoUD.
9. Joint Secretary (PF-II), Department of Expenditure.
10. Joint Secretary & FA, MoUD.
11. Joint Secretary (SBM), MoUD.
12. OSD (UT), MoUD.
13. Adviser (CPHEEO).
14. Chief Planner, TCPO, Vikas Bhawan, IP Estate, New Delhi.
15. Director, NIUA, India Habitat Centre, New Delhi.
16. Secretary, Urban Development Department, Government of Karnataka, Bangalore.
17. Principal Secretary (UD), Municipal Administration and Urban Development Department, Room No. 105, L-Block, Ground Floor, Andhra Pradesh Secretariat, Hyderabad - 500 022.
18. Principal Secretary, Municipal Administration & Urban Development Department, Govt of Telangana.

Continue

19. Principal Secretary, Department of Urban Local Bodies, Govt of Haryana.
20. Principal Secretary, Urban Development Housing Department, Government of Bihar, Vikas Bhawan, Bailey Road, Patna - 800 001.
21. Principal Secretary (UD), UT of Dadra and Nagar Haveli, Secretariat, 56 KV Road, Silvassa-396220.

Copy to:

- i. PSO to Secretary (UD)
- ii. PS to JS(UD &A),
- iii. PS to Media Advisor in Office of UDM
- iv. PS to Director (AMRUT)
- v. Sr. Technical Director (NIC), MoUD, Nirman Bhawan (with request to upload the minutes on the AMRUT website)

Minutes of the 12th Meeting of Apex Committee of Atal Mission for Rejuvenation and Urban Transformation (AMRUT) held on 03.08.2016

The List of Participants is at Annexed.

At the outset Secretary (UD), Ministry of Urban Development, Govt. of India and Chairman, Apex Committee welcomed the participants. Secretary (UD) emphasized about the need for timely implementation of projects and to carry out time reforms in a time bound manner to make the public services available online and hassle free. He also appreciated the model of on-line system adopted by the State of Andhra Pradesh and Telangana for approval of building construction permission and requested other States to adopt the model for quick and timely service delivery to public through online platform. He also suggested as below:

- a. States/UTs should explore possibility of PPP in every projects under AMRUT. State Committee (SHPSC) must examine and promote using PPP methodology for all water and sewerage/septage projects. This would also bring in technical expertise to execute and implement the project. The States can choose from various model of PPP in accordance with their requirement and suitability like annuity model etc.
- b. Due priority of Septage Management over underground sewerage system should be given as they are cost effective, easy to operate and maintain and can help effectively address the problem until a comprehensive sewerage network of the entire city is put in place. He also apprised the States/UTs that expert teams from Ministry of Urban Development will assist/help them to explore this.
- c. Entire Mission period allocation has been communicated to the States/UTs and State Governments have been requested to prepare and submit SAAP for balance allocation as early as possible, but before 31st October, 2016.
- d. Special attention need to be given to water recycling and faecal sludge management designing the projects under sewerage sector against the approved SAAPs.
- e. The Ministry has issued detailed Guidelines for constituting A District Level Advisory and Monitoring Committee. The States/UTs should constitute the Committees at the district level in accordance with the Guidelines. The Committee will review and advise for all MoUD and MoHUPA Schemes and Missions (except Smart Cities) as listed in the guidelines.
- f. To increase revenue resources States/UTs should explore ways to earn revenue from sale of treated waste water from STPs. For such water, power plants/Industrial zones are potential buyers. Enabling policy regarding use of treated wastewater by the power plants have already been issued by the Ministry of Power. This policy makes it attractive for power plants utilise treated wastewater by permitting them to pass through the cost of such water.
- g. State/UT should give utmost priority to timely implementation of AMRUT Projects so that benefit could reach the citizens in time and effective manner.

- h. State/UT should also carry out credit rating to all Mission cities. The agency conducting credit rating will also advise them on base means improve the credit rating. This will help the financial stronger cities access funds from financial institutions for taking up projects. On the other hand, the weaker cities will be able to find way forward to improve their rating.

The Niti Aayog also communicated their comments through email. The progress in preparation of DPRs and tendering of projects have been noted and the need for expediting the same has been emphasized. In this context the need for quick selection of Project Development and Management Consultants has also been emphasized. The need for capacity building, both institutional and individual, has been noted in view of the fact that, going forward, the States will have to prepare more DPRs and enter into more contracts and also the administer the contracts awarded in past years. It has been noted that the actual training programs are yet to start and there is need of a focused attention on this point. The Niti Aayog has also emphasized the need to keep track on the level of convergence happening across various other schemes and missions.

Joint Secretary (UD&AMRUT), Ministry of Urban Development and Mission Director briefed the Committee that SAAPs of eight States are listed for consideration and informed that remaining States/UTs were advised to submit their SAAP as quickly as possible and it is targeted to approve State Annual Action Plans (SAAPs) of all the States/UTs by the end to August this year. He also apprised the Committee that next and final SAAP for the remaining Mission period will be taken up for consideration/approval in October/November this year. He requested the States to make brief presentation on their SAAP beginning with Andhra Pradesh.

i. SAAP of Andhra Pradesh

Financial Details					
Number of Mission cities: 33			Sharing pattern: 50:50 for cities upto one million population and 1/3:2/3 for other cities.		
CA for SAAP 2016-17: Rs. 351.60 crore			Central share: Rs.351.60 crore		
A&OE share: Rs. 9.37 crore			State share: Rs. 525.46 crore		
Total number of projects: 111			SAAP size: Rs.877.05 crore		
			Total number of cities covered: 33		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility

No. of projects	38	33	32	8	0
Amount (Rs.Cr)	488.23	268.49	18.28	102.05	0

The State Government presented the details of progress in Capacity Building, Reforms implementation and project implementation against SAAP 2015-16. While presenting the SAAP of 2016-17 they also elaborated about the on-line process adopted by them for permission of building construction which is appreciated by the Committee.

Comments of NIUA:

The Director NIUA observed that the State Government has prepared the SLIPs as per guidelines and offer no specific comments.

Comments of TCPO:

The Chief Planner, TCPO offered no comments.

Comments of Department of Expenditure:

No comments offered by the representative of Department of Expenditure.

Comments of IFD:

The JS&FA stated that observations of IFD have already been communicated on file. In response the State Govt. clarified that the Master Plan has been prepared covering the balance Mission period from 2016-20 for 2191.31 crore and SAAP for 2016-17 for Rs.877.05 crore has been prepared as integral part of the Master Plan for the balance Mission period. Additional funds will be arranged with dovetailing from State funds and reform incentive etc.

Comments of JS (SBM):

The JS (SBM) reviewed the progress of the SBM in detail expressed satisfaction to the effort made by the State towards achieving the target of ODF.

Comments of AS (SC):

AS(SC) suggested that State can explore the way to involve private sector for Septage Management as well as Solid Waste Management.

Chairperson:

The State Annual Action Plan was approved subject to above comments and observations and common conditions mentioned by the National Mission Director and recorded later in the Minutes.

ii. SAAP of Karnataka

Financial Details					
Number of Mission cities: 27			Sharing pattern: 50:50 for cities upto one million population and 1/3:2/3 for other cities		
Central Allocation (CA) for SAAP 2016-17: Rs 771.60 Cr			Central Share: Rs.771.60 Cr.		
A&OE share: Rs 20.57 Cr			State share: Rs 853.12 Cr		
Total number of projects: 86			SAAP size: Rs 1624.72 Cr		
			Total number of cities covered:27		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	22	20	27	11	06
Amount (Rs.Cr)	726.44	727.24	35.23	118.83	16.98
The State Government presented the details of progress in Capacity Building, Reforms implementation and project implementation against SAAP 2015-16 and presented the SAAP of 2016-17.					
Comments of NIUA:					
No comments offered.					
Comments of TCPO:					
The Chief Planner, TCPO presented the status of reform implementation mandated for the year.					
Comments of Niti Aayog:					
Implementation of projects need to be expedited and State need to identify other source of funding to achieve the target during the Mission period.					
Comments of Department of Expenditure:					
No comments offered.					
Comments of IFD:					
The JS&FA stated that the observations of IFD have already been communicated on file.					
Comments of JS (SBM):					
The JS (SBM) reviewed the progress of the SBM in detail and request State Govt. to expedite					

the construction of toilets to achieve ODF target by March 2018.

Comments of AS (SC):

AS(SC) suggested to give due weightage to reduction of NRW and to look at value capture fund to generate more fund along with PPP model.

Chairperson:

The State Annual Action Plan was approved subject to above comments and observations and common conditions mentioned by the National Mission Director and recorded later in the Minutes.

iii. SAAP of Telangana

Financial Details					
Number of Mission cities: 12			Sharing pattern: 50:50 for cities upto one million population and 1/3:2/3 for other cities.		
CA for SAAP 2016-17 : Rs. 277.20 crore			Central share: Rs.277.20 crore		
A&OE share: Rs. 7.39 crore			State share: Rs. 277.57 crore		
Total number of projects: 22			SAAP size: Rs.554.77 crore		
			Total number of cities covered: 22		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	9	1	12	0	0
Amount (Rs.Cr)	501.46	40.00	13.31	0	0
The State Government presented the details of progress in Capacity Building, Reforms implementation and project implementation against SAAP 2015-16. and presented the SAAP of 2016-17.					
Comments of NIUA:					
No comments offered.					
Comments of TCPO:					
The Chief Planner, TCPO offered no comments. the status of reform implementation					

mandated for the year.

Comments of Niti Aayog:

There is delay in starting the work on selected components of AMRUT. The focus of sewerage and septage management is on coverage of latrines. Other components also need adequate attention. Mission target for various SLB should be 100% by the end of the Mission period.

Comments of Department of Expenditure:

No comments offered.

Comments of IFD:

The DS(IFD) stated that the observations of IFD have already been communicated on file.

Comments of JS (SBM):

The JS (SBM) reviewed the progress of the SBM in detail.

Comments of AS (SC):

AS(SC) suggested that State Govt. may look at hybrid annuity model under PPP to attract PPP.

Chairperson:

The State Annual Action Plan was approved subject to above comments and observations and common conditions mentioned by the National Mission Director and recorded later in the Minutes.

iv. SAAP of Haryana

Financial Details					
Number of Mission cities: 20 CA for SAAP 2016-17: Rs. 254.40 crore A&OE share: Rs. 6.78 crore Total number of projects: 74			Sharing pattern: 50:50 for cities upto one million population and 1/3:2/3 for other cities. Central Share: Rs.254.40 crore State share: Rs. 271.00 crore SAAP size: Rs.525.40 crore Total number of cities covered: 20		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	16	16	18	15	9
Amount (Rs.Cr.)	166.59	219.67	13.14	105.0	21.00

The State Government presented the details of progress in Capacity Building, Reforms implementation and project implementation against SAAP 2015-16. While presenting the SAAP of 2016-17 State Govt. has informed that SAAP 2015-16 has slightly been modified without any change in Central share. The SAAP size of 2015-16 would be Rs.458.02 crore in place of Rs.438.02. The size has been changed due to 2/3rd State share for Faridabad which is million plus city.

Comments of NIUA:

No comments offered.

Comments of TCPO:

The Chief Planner TCPO presented the assessment of status of accomplishment of reforms mandated for the year.

Comments of Niti Aayog:

Progress is slow. State Govt. should focus on capacity building and convergence with other schemes.

Comments of Department of Expenditure:

No comments offered.

Comments of IFD:

The IFD had not examined the proposal in detail as the proposal submitted then was not complete. The State Government needs to submit revised SAAP in accordance with the details presented before the Committee.

Comments of JS (SBM):

The JS (SBM) reviewed the progress of the Swachh Bharat Mission and requested to clear the pending applications received by State Govt. for construction of latrines quickly. The State Government agreed to expeditious action in the matter.

Comments of AS (SC):

The AS(SC) requested the State Government to leverage the PPP funds for water supply projects to cover the supply gap in the sector.

Chairperson:

The State Annual Action Plan was approved subject to above comments and observations and common conditions mentioned by the National Mission Director and recorded later in the Minutes.

v. Bihar

Financial Details					
Number of Mission cities: 27 CA for SAAP 2016-17: Rs. 387.60 crore A&OE share: Rs. 10.33 crore Total number of projects: 29			Sharing pattern: 50:50 for cities upto one million population and 1/3:2/3 for other cities. Central Share: Rs.387.60 crore State Share: Rs. 387.6 crore SAAP size: Rs.775.20 crore Total number of cities covered:20		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	07	00	20	02	00
Amount (Rs.Cr)	627.82	00	23.48	123.90	00
The State Govt. informed the Committee that the SAAP was discussed in the last meeting and justification was sought for taking up projects in drainage sectors. He further stated that drainage project has been proposed in Muzaffarpur and Bhagalpur keeping in view of recurrent water logging and urban flooding. Also Storm water drainage project in Bhagalpur is in convergence in the Area Bound Development (ABD) component of Smart City proposal. The committee approved the State Annual Action Plan of 2016-17 keeping in view of justification given by the State Govt.					

vi. Nagaland and Andaman and Nicobar Islands

The SAAPs were not discussed due to non-participation from the State/UT Govts

vii. Dadra and Nagar Haveli

Financial Details	
Number of Mission cities: 1 CA for SAAP 2016-17: Rs. 3.60 crore A&OE share: Rs. 0.096 crore	Sharing pattern: 100% centre Central Share: Rs.3.60 crore State Share: Nil

Total number of projects: 2		SAAP size: Rs.3.6 crore			
		Total number of cities covered: 1			
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	1	00	1	0	00
Amount (Rs.Cr)	3.51	00	0.09	0	00
The Committee considered the SAAP submitted and noted that the UT Government has listed only one project in water supply sector costing Rs.38 Crores in the master list of projects. On the other hand, the UT Government has proposed to take up one project costing Rs.3.51 Crore in the sector. On being asked the UT Government stated that the project proposed will be complete in all respect and is not contingent upon any other investment. The Plan was approved accordingly with the advice to submit the plan of A&OE expenditure.					

Common Conditions for all SAAPs :

- The State/UT Govts. shall, in respect of each project, explore the possibility of funding through PPP mode, annuity based funding as well as utilising the funds available to the ULBs through State Finance Commission and 14th Finance Commission. An analysis of such options in respect of each project shall be presented by the Mission Directorate before the SHPSC at the time of seeking approval for projects. In case the funding in a project through these sources has not been tied up, the SHPSC should satisfy itself about the reasons for the same.
- The State/UT Govts shall ensure that the works are executed through the ULBs. In case the works are to be executed through para-statal agencies, the process and conditions specified in the Mission Guidelines in this regard shall be strictly followed.
- State Govts. needs to clearly indicate about the availability of Land and other clearances. No projects should be approved by State Level Technical Committee (SLTC) which do not have land available and no work order should be issued till receipt of all clearances from all concerned departments/authorities.
- Re-cycling/re-use of waste water and reduction of NRW should be given focus while approving DPRs.
- The State Govts. should ensure convergence between the AMRUT, Smart Cities, SBM, other related Schemes and Externally aided projects according to Mission Guidelines.
- Estimates in the SAAP should be based on prevailing official schedule of rates and not on market rates.

- g. Implementation of reforms will make States/UTs eligible for annual incentive. In order to get incentives reforms should be broken up into activities with timelines and sent to TCPO by the State Mission Director.
- h. The approvals towards Urban Mobility shall not be used for procurement of buses.
- i. No incomplete JnNURM projects should be taken up in AMRUT and the SLTC will ensure adherence to the Guidelines.
- j. In case, the appraised cost of projects in previous SAAP exceeds the approved SAAP size for the year, the SAAP may be limited to approved SAAP size by removing some projects. Such projects may either be taken up in the next of SAAP or taken up by the State Govt. through convergence with other schemes or borne by the State/UT Government.
- k. States/UTs must ensure that there is no duplicity of projects/work and projects/item of works already approved under previous SAAP is not form the part of SAAP again.

Summary of decisions of the Apex Committee:

The Committee approved the State Annual Action Plan (SAAP) of following States:

Amt in Rs. crore

Name of State	Estimated project cost under SAAP for 2016-17	Central Share	A&OE allocation to State for 2016-17	Committee's decision/ observation
Andhra Pradesh	877.05	351.60	9.37	Committee approved the SAAP with central share of Rs.351.60 crore towards project cost and release of 1 st instalment of Rs. 70.32 Crore being 20% of Central share. Committee also approve the A&OE allocation of Rs.9.37 crore.
Karnataka	1624.72	771.60	20.57	Committee approved the SAAP with central share of Rs.771.60 crore towards project cost and release of 1 st instalment of Rs. 154.32 Crore being 20% of Central share. Committee also approve the A&OE allocation of Rs.20.57 crore.
Telangana	554.77	277.20	7.39	Committee approved the SAAP with central share of Rs. 277.20 crore towards project cost and

				release of 1 st instalment of Rs. 55.44 Crore being 20% of Central share. Committee also approve the A&OE allocation of Rs.7.39 crore.
Haryana	525.40	254.40	6.78	Committee approved the SAAP with central share of Rs.254.40 crore towards project cost and release of 1 st instalment of Rs. 50.88 Crore being 20% of Central share. Committee also approve the A&OE allocation of Rs.6.78crore.
Bihar	775.20	387.60	10.33	Committee approved the SAAP with central share of Rs. 387.60 crore towards project cost and release of 1 st instalment of Rs. 77.52 Crore being 20% of Central share. Committee also approve the A&OE allocation of Rs.10.33 crore.
Dadra and Nagar Haveli	3.6	3.6	0.096	Committee approved the SAAP with central share of Rs. 3.60 crore towards project cost and release of 1 st instalment of Rs. 0.72 Crore being 20% of Central share. Committee also approve the A&OE allocation of Rs.0.096 crore.

The meeting ended with a vote of thanks to the participants.

Annexure-I

List of Participants in the 12th meeting of Apex Committee under AMRUT held on 03.08.2016

1. Shri Rajiv Gauba, Secretary (UD), MoUD **Chairman**
2. Shri Sameer Sharma, AS(SC), MoUD
3. Shri Neeraj Mandloi, Joint Secretary (UD), MoUD **National Mission Director**
4. Smt. Jhanja Tripathy, JS & FA, MoUD
5. Shri Praveen Prakash, JS(SBM), MoUD
6. Shri A.A. Rao, Addl. DG, Media Advisor MoUD.
7. Shri V. Ponnuraj, Secretary(UD), Govt. of Karnataka
8. Shri Anil Kumar, Principal Secretary(ULB), Haryana
9. Shri N. K Singh, Addl. Secretary, Govt. of Bihar
10. Shri K. KANNA BABU, DIRECTOR of Municipal Administration, Govt. of Andhra Pradesh.
11. Shri M. Dana Kishore, Commissioner & Director of M.A. Department, Telangana.
12. Shri P.P. Parmar, DS(UD), Urban Development Dept. DD&DNH
13. Shri Kapil Shirsat, MD, Bihar Rajya Jal Parshad, Govt. of Bihar
14. Shri Shiv Pal Singh, Director (AMRUT) MoUD
15. Shri Jagan Shah, Director, NIUA
16. Shri K.K. Joadder, TCPO
17. Shri J.B Ravinder, Jt Adviser, MoUD
18. Shri M.S. Sharma, DS (SBM), MoUD
19. Shri N. Choudhary, Deputy Secretary , Ministry Drinking Water & Sanitation, Gol
20. Shri Er. G. Rasool Zargar, Ministry of Drinking Water & Sanitation
21. Ms. Y. Jaya Priya Darshni, SRO, NITI Aayog.
22. MS Anu Kukreja, Deputy Director, Department of Expenditure.
23. Shri R.P. Singh, Under Secretary, MoUD.
24. Ms. Chithra. S Assistant Secretary, HUPA
25. Shri Aditya Singh, Assistant Secretary, MoUD AMRUT
26. Shri Chanchal Rana, Assistant Secretary, M/o HUPA HFA
27. Ms. Swadha Dev Singh, Assistant Secretary, SBM
28. Shri Owais Ahmed, Assistant Secretary, Heritage Cities MoUD
29. Shri Raman Singh, Researcher, NIUA
30. Shri G.Kondal Rao, Chief Engineer, APUFIDC GoAP, Hyderabad
31. Shri Satish Goel, Nodal Officer , ULB Dept. Haryana
32. Ms. Neha Rawat, WAPCOS
33. Shri Kanav Davesar, WAPCOS
34. Shri Tushar Viridi, WAPCOS
35. Shri Vijay Sharma, AECOM
36. Shri Sanjiva Sharma, AECOM
37. Shri Ramesh Dahapute, TLE