

K-16015/4/2017-AMRUT-1B
Government of India
Ministry of Housing & Urban Affairs
AMRUT-I Division

Nirman Bhawan, New Delhi,
dated the 12th February, 2019.

OFFICE MEMORANDUM

Sub: Minutes of the 21st meeting of Apex Committee under Atal Mission for Rejuvenation and Urban Transformation (AMRUT) – Reg.

The undersigned is directed to forward herewith the minutes of the 21st meeting of Apex Committee under Atal Mission for Rejuvenation and Urban Transformation (AMRUT) held in the Ministry of Housing & Urban Affairs on 28.01.2019 for information and necessary action.

Encls.: As above.



(Rajesh Kumar)

Under Secretary to the Government of India
Tel. No.: 011-23061407

To,

1. Secretary (Department of Expenditure).
2. Secretary (Department of Economic Affairs).
3. Principal Advisor (HUD), NITI Aayog.
4. Secretary (Drinking Water & Sanitation).
5. Secretary (Environment, Forest & Climate Change).
6. Joint Secretary (PF-II), Department of Expenditure.
7. Joint Secretary & Financial Advisor, MoHUA.
8. Joint Secretary (SBM), MoHUA.
9. Adviser (CPHEEO).
10. Chief Planner, TCPO, Vikas Bhawan, IP Estate, New Delhi.
11. Director, NIUA, India Habitat Centre, New Delhi.

Copy for further necessary action as required to:

1. The Secretary / Principal Secretary, Urban Development Department, All States/UTs.
2. The State Mission Directors (AMRUT), All States/UTs.

Copy for information to:

1. PSO to Secretary (HUA).
2. PS to Joint Secretary (AMRUT).
3. PS to Media Advisor in Office of Hon'ble Minister of State (I/C).
4. PS to Directors (AMRUT- I & II).
5. PA to Deputy Secretary (AMRUT & IC).
6. Deputy Director (AMRUT).
7. Under Secretaries (AMRUT-I, IIA & IIB)
8. Assistant Director (AMRUT).
9. Team Leader, PMU (AMRUT).

Minutes of the 21st meeting of Apex Committee for Atal Mission for Rejuvenation and Urban Transformation (AMRUT) held on 28 January 2019 under the chairmanship of Secretary (HUA)

The List of Participants is annexed.

The Additional Secretary and National Mission Director welcomed Secretary (Housing and Urban Affairs), officers from other departments of Government of India and representatives from State Governments of Andhra Pradesh, Punjab and Uttarakhand and Union Territory Administration of Chandigarh.

He outlined the agenda for the meeting and presented progress in project implementation. Discussions on the agenda items were initiated as following:

AGENDA 1. PROGRESS IN IMPLEMENTATION OF AMRUT:

A. FINANCIAL PROGRESS (as on 24.01.2019)

Atal Mission for Rejuvenation and Urban Transformation (AMRUT) was launched by the Hon'ble Prime Minister in June, 2015. Financial progress of the Mission is as under:

(All amounts in Rupees crore)

Sl. No.	Description	Amount
1.	SAAP I	20,672.37
2.	SAAP II	25,182.16
3.	SAAP III	31,785.50
4.	Total approved SAAP	77,640.03
5.	Work completed	2,783
6.	Contracts awarded and work in progress	56,053
7.	NIT issued	12,307
8.	DPRs Approved and NIT to be issued	7,658
9.	DPRs yet to be approved	2,794
10.	Funds released for implementation of projects for all SAAPs	11,949
11.	Funds released as incentives for Reforms	1,359
12.	Funds released for A&OE to States/UTs	512
13.	Funds released for implementation of GIS based Master-plan sub-scheme of AMRUT	88
14.	UC received against funds released for implementation of project	7,190
	UC received against funds released for A&OE to States/UTs	356
	UC received against funds released as Reforms incentives to States/UTs	502
	UC received against funds released for GIS based Master-plan sub-scheme of AMRUT	7
	Total UC received (Excluding JnNURM)	8,055

B. PHYSICAL PROGRESS (as on 24.01.2019)

Against the total SAAPs of Rs. 77,640 crore, Detailed Project Reports (DPRs) have been approved by the States/UTs for projects worth Rs. 78,800 crore and DPRs for projects worth Rs.2,794 crore are yet to be approved. Some States/UTs have taken projects in excess of approved SAAPs for which, the entire additional cost will be borne by the respective State/UT.

Out of the DPRs approved for Rs.78,800 crore, contracts have been awarded for projects worth Rs.58,836 crore, including completed projects of Rs. 2,783 crore. Further, for projects worth Rs.12,307 crore, Notice Inviting Tenders (NITs) have been issued and for remaining DPRs of Rs.7,658 crore, NITs are to be issued.

Discussion:

The Committee deliberated on the financial and physical progress of the States/UTs. It observed that for the States/UTs of Assam, Goa, Himachal Pradesh, Lakshadweep, Meghalaya, Nagaland, Punjab, Sikkim and Uttarakhand, more than 50% of the contracts were yet to be awarded. Moreover, these States/UTs are yet to approve DPRs for projects costing in excess of 20% of their approved SAAPs for the entire Mission period. This is a matter of concern considering that only 14 months are left in the implementation of the Mission and further delay may result in time and cost over-runs, depriving the States/UTs of their allocated Central Assistance and the citizens of the intended benefits of the Mission.

Decision of Apex Committee:

The Apex Committee decided that all States/ UTs shall expedite the implementation of works under the Mission to ensure adherence to the Mission timeline.

AGENDA 2. REVIEW OF NON-APPROVAL OF SAAP-III OF TWO (2) STATES DUE TO NON-ACHIEVEMENT OF MILESTONES:

SAAP-III of a State/UT is approved on fulfilling following five milestones:

- a. Award of contracts for 25% of SAAP-I,
- b. Approval of DPRs for 50% of SAAP-I & II,
- c. Transfer of 100% CA released against SAAPs I & II and corresponding State share to State Mission Directorate,
- d. Award of Credit Rating work for all Mission cities, and
- e. Employment of PDMC.

SAAP-III has not been approved for Assam and Meghalaya as they have not fulfilled the above criteria. The status is as under:

	States/UTs	Reason for non-approval of SAAP III
1	Assam	Contract for 25% of SAAP-I not awarded.
2	Meghalaya	Contract for 25% of SAAP-I not awarded and DPRs for 50% of SAAP-I &II not approved

Discussion:

The Apex Committee in its last meeting had noted the progress of these States and decided that they may be addressed to expedite the implementation of the Mission in a time bound manner. The Committee was apprised that regular reviews and video conferences are being done with these two States requesting them to expedite the implementation of the Mission in their State.

Decision of the Apex Committee:

The Apex Committee decided that States of Assam and Meghalaya shall expedite the implementation of the Mission in their States and fulfil the criteria for approval of SAAP-III at the earliest.

AGENDA 3. RELEASE OF 2ND INSTALMENT OF COMMITTED CENTRAL ASSISTANCE (CA) TO STATES

As per Para 9 of the Mission Guidelines, the committed CA will be released to the States/UTs in 3 instalments of 20:40:40. The 1st instalment of 20% of committed CA will be released immediately upon the approval of the SAAPs by the Apex Committee. Accordingly, the 1st instalments of committed CA (20%) had been released to the States/UTs as and when their SAAPs were approved. The 2nd instalment of committed CA (40%) against all 3 SAAPs will be released upon achievement of certain benchmarks as mentioned below.

- Total SAAP size to be as per allocation. In case the cost of projects is above the allocation for a State/UT, the entire additional cost has to be borne by the concerned State/UT.
- Utilisation of at least 75% of the amount already released by the Centre and State according to the funding pattern.
- Utilization of the State/ULB/Private Sector share.
- Meeting the service level milestones as assured in the roadmap contained in the SAAPs and certified in the report of the Independent Review and Monitoring Agency (IRMA).
- Mobilizing the assured resources as given in the SAAP by the States/UTs.
- Fulfilling any other conditions imposed by the SHPSC and the Apex Committee.

Any excess or shortfall in the 1st instalment of 20% released on the basis of estimated cost shall be adjusted while releasing the 2nd / 3rd instalment of CA which will be based on approved cost. The approved cost is the appraised cost or the tendered cost of the project (whichever is less) and has to be taken into account by the SHPSC.

Second instalment of Central Assistance has been released to the States as below:

- State of Gujarat submitted the claim for release of second instalment of committed CA against all 3 SAAPs without IRMA report. These claims were examined in consultation with IFD and Rs. 104.78 crore has been released to the State with the approval of Hon'ble Minister of State (I/C) on relaxation of submission of IRMA report as under:

(All amounts in Rs. Crore)

Sl. No.	Name of State	Approved SAAP size for the entire Mission period	Approved cost of tendered projects for the entire Mission period submitted by the State	Total Committed CA for the entire Mission period	Revised eligible CA against approved cost of tendered projects	1 st instalment (20%) of CA released against all 3 SAAPs	2 nd instalment (40%) of committed CA due against all 3 SAAPs	2 nd instalment of committed CA released against all 3 SAAPs after making necessary adjustments (excess release & others)
1.	Gujarat	4884.42	2580.64	2069.96	1075.61	414.00	430.25	104.78

- The States of Bihar, Tamil Nadu, Telangana and Uttarakhand also submitted claims without IRMA reports and Rs. 136.98 crore, Rs. 735.43 crore, Rs. 135.49 crore and Rs. 42.74 crore respectively have been released to these States in consultation with IFD as 2nd instalment against SAAPs I & II only. The funds against SAAP-III could not be released as UCs for at least 75% of the CA released and corresponding State/ULB share could not be furnished by the States. Since, IRMA/Compliance report could not be submitted due to delay in appointment of IRMA, the eligible amounts were released with the approval of Hon'ble Minister of State (I/C) as shown below:

(All amounts in Rs. crore)

Sl. No.	Name of State	Approved size for SAAPs I & II	Approved cost of tendered projects for SAAPs I & II as submitted by the State	Total Committed CA for SAAPs I & II	Revised eligible CA against approved cost of tendered projects	1 st instalment (20%) of CA released against SAAPs I & II	2 nd instalment (40%) of committed CA due against SAAPs I & II	2 nd instalment of committed CA released against SAAPs I & II after making necessary adjustments (excess release & others)
1.	Bihar	1439.40	1171.20	719.70	585.58	143.94	234.23	136.98
2.	Tamil Nadu	7083.72	4635.21	2955.21	1963.43	591.04	785.37	735.43
3.	Telangana	963.28	955.54	481.45	477.58	96.29	191.03	135.49
4.	Uttarakhand	345.86	238.01	311.28	214.21	62.26	85.68	42.74

- iii. Earlier, while releasing the 2nd instalment of committed CA against all 3 SAAPs for the State of Rajasthan, an amount of Rs. 150.82 crore was withheld in consultation with IFD as equivalent amount from the 1st instalment of CA as well as corresponding State+ULB share was lying unutilized with the State. Subsequently, State of Rajasthan submitted the Utilization Certificate for the unutilized amount following which, Rs. 150.82 crore has been further released against 2nd instalment of CA in consultation with IFD and with approval of Secretary (HUA) as shown below:

(All amounts in Rs. crore)

Sl. No.	Name of State	Approved size for the entire Mission period	Approved cost of tendered projects for the entire Mission period submitted by the State	Total Committed CA for the entire Mission period	Revised eligible CA against approved cost of tendered projects	1 st instalment (20%) of CA released against all 3 SAAPs	2 nd instalment (40%) of committed CA due against all 3 SAAPs	2 nd instalment of committed CA released after making necessary adjustments (excess release & others)	2 nd instalment of committed CA further released now
1.	Rajasthan	3223.94	2903.33	1541.95	1409.58	308.39	563.83	268.31	150.82

- iv. For the States of Andhra Pradesh and Odisha, while releasing 2nd instalment of CA for all 3 SAAPs, 25% of the amount was withheld due to non-receipt of IRMA/Compliance report. Now, these States have submitted the IRMA report, Action Taken Report and the Compliance Report following which, the withheld amounts of Rs.91.39 crore and Rs.78.25 crore have been released respectively to Andhra Pradesh and Odisha as mentioned below:

(All amounts in Rs. crore)

Sl. No.	Name of State	Approved size for the entire Mission period	Total Committed CA for the entire Mission period	Revised eligible CA against approved cost of tendered projects	1 st instalment (20%) of CA released against all 3 SAAPs	2 nd instalment (40%) of committed CA due against all 3 SAAPs as per approved cost	2 nd instalment of committed CA released earlier after making necessary adjustments	2 nd instalment of committed CA further released now
1.	Andhra Pradesh	2890.17	1056.62	1023.24	211.32	409.29	316.98	91.39
2.	Odisha	1598.96	796.97	796.95	159.40	318.78	234.75	78.25

As per the Mission Guidelines, interest earned by the State/UT Government on CA released as 1st instalment has been adjusted while releasing the 2nd instalment of CA. Further, State/UT Government has to transfer the CA funds along with the corresponding share of the State to the nodal account of the State Mission Director within 30 days of release of central funds by the Government. In case, there is a delay beyond this period, penal interest has been levied and adjusted from subsequent instalment. Further, excess release in first instalment of CA, if any, based on the approved cost of tendered projects has also been adjusted.

Ex-post facto approval of the Apex Committee was solicited for release of 2nd instalment of Central States to these States.

Decision of the Apex Committee:

The Apex Committee granted ex-post facto approval for release of 2nd instalment of Central Assistance (CA) to the States of Gujarat, Bihar, Tamil Nadu, Telangana, Uttarakhand, Rajasthan, Andhra Pradesh and Odisha as per details mentioned above. The Committee further stated that States/UTs should submit updated Utilisation Certificates (UCs) at regular basis to avail subsequent funds under the Mission.

AGENDA 4. MODIFICATIONS IN APPROVED SAAPS OF UTs.

As per Para 8.4 of the Mission guidelines, the State Mission Directorate is expected to ensure timely award of contracts and start of projects approved under SAAP. It is expected that projects approved under various SAAPs by the Apex Committee must necessarily reach the stage of award of contract within 6 months of the date of Apex Committee approval. The State Mission Directorate shall place before the SHPSC a list of all such projects where contracts have not been awarded within this time limit, for cancelling the projects and also propose viable projects to be taken in lieu of the cancelled projects.

The SHPSC, while considering cancellation and replacement of such non-starter projects, shall consider the overall allocation to the State under AMRUT and approvals granted to projects. After cancellation of non-starter projects, if there is sufficient cushion available to take up new projects and likelihood of their completion during the Mission period (i.e. up to March, 2020), the SHPSC shall approve replacement projects. Careful assessment of project preparedness must be done before approving the replacement project. However, the new projects taken up against the non-starter projects can only be in the priority sectors of AMRUT, namely, water supply and decentralised septage management.

The SHPSC will be competent to approve replacement of projects costing up to 10% of each SAAP. In case replacement of projects beyond this amount is required, approval of the Apex Committee will be sought before the replacement can be done. No expenditure including A&OE expenditure shall be permitted to be booked against any non-starter project. SAAPs of following States/UTs have been modified:

i. Modification in SAAP-III of Chandigarh

UT Administration of Chandigarh has submitted a proposal for modification in SAAP III (2017-20) under AMRUT and sought the approval to modify the project of upgradation of STPs to reuse /utilize the water amounting to Rs.60 crore. The SHPSC decided that the project which was taken in convergence with Smart City Mission (Rs.40.976 crore) cannot be completed within the Mission period and hence it may be substituted with project pertaining to 100% usage of tertiary

treated water in the city, so as to complete all the works under AMRUT within the time frame of the Mission.

The UT Administration has submitted that with the above substitution, the SAAP-III size stands revised down to Rs.23.906 crore. The additional burden of Rs.2.856 crore over and above the approved SAAP-III allocation of Rs.21.05 crore, will be met by the Chandigarh administration from its own resources.

Secretary (HUA), in consultation with IFD, has approved the proposal for modification in SAAP-III of Chandigarh and ex-post facto approval of Apex Committee has to be taken.

ii. Modification in SAAPs-II & III of Puducherry

UT Government of Puducherry has proposed to replace projects worth Rs.10.77 crore in SAAPs-II & III with projects worth Rs.15.53 crore. Both the dropped and new projects are in Water Supply and Sewerage & Septage Management sector. The SHPSC has approved the proposal for modification with the commitment that the additional funding of Rs.4.76 crore will be either met out of the savings from the ongoing AMRUT projects or shall be borne by the UT Government.

IFD concurred to the proposal with the condition that it is approved by the SHPSC and the project size is restricted to match approved SAAP size, i.e. replacement projects may not be taken up beyond the cost of projects being dropped, the same being Rs.10.77 crore. In any case, the amount in excess of approved SAAP shall be borne by the UT Government. Accordingly, the same was intimated to the UT Government with the approval of Secretary (HUA).

However, the proposal for modification in SAAPs II & III was still more than 10% of the approved SAAP sizes and hence requires the approval of Apex Committee.

Ex-post facto approval of the Apex Committee was solicited for one-time modification in approved SAAPs of Chandigarh and Puducherry.

Decision of the Apex Committee:

The Apex Committee accorded ex-post facto approval for one-time modification of SAAP-III of Chandigarh and SAAPs-II & III of Puducherry as per details mentioned above.

AGENDA 5. FUNDS RELEASED FOR A&OE IN 2018-19:

In its 20th meeting, Apex Committee granted ex-post facto approval to release of a sum of Rs.108.24 crore to 13 States/UTs for A&OE under AMRUT for FY 2018-19. Thereafter, with the approval of Secretary (HUA) and after fulfilling the criteria laid down under Mission Guidelines, Rs.139.965 crore has been further released to 15 States as mentioned below:-

S. No.	State	Amount released (Rs. in crore.)
1.	Bihar	7.76
2.	Chhattisgarh	6.70
3.	Himachal Pradesh	1.825
4.	Jammu & Kashmir	3.56
5.	Jharkhand	3.50

S. No.	State	Amount released (Rs. in crore.)
6.	Karnataka	15.445
7.	Kerala	7.74
8.	Madhya Pradesh	17.26
9.	Maharashtra	23.52
10.	Meghalaya	0.32
11.	Nagaland	0.725
12.	Odisha	5.30
13.	Punjab	8.02
14.	Telangana	5.535
15.	Uttar Pradesh	32.755
Total		139.965

Ex-post facto approval of the Apex Committee was solicited for release of funds to aforesaid 15 States for A&OE under AMRUT for FY 2018-19.

Decision of the Apex Committee:

The Apex Committee granted ex-post facto approval for release of Rs. 139.965 crore to the aforesaid 15 States for A&OE under AMRUT for FY 2018-19 as per details mentioned above.

AGENDA 6. FUNDS RELEASED AS REFORM INCENTIVE FOR ISSUING MUNICIPAL BONDS IN 2018-19:

As a part of reform incentive, it was decided to incentivise 10 ULBs during 2018-19 at the rate of Rs.13 crore for every Rs.100 crore of Bonds issued and up to a maximum of Rs.26 crore shall be awarded to a ULB for issuing bonds.

In its 20th meeting, Apex Committee granted ex-post facto approval to release of a sum of Rs.70.18 crore to 3 ULBs on the above criteria. Thereafter, 3 more Municipal Corporations have successfully issued Municipal Bonds and with the approval of Secretary (HUA) this Ministry has further released an amount of Rs.59.15 crore as under:

(All amount in Rupees crore)

Sl. No.	Name of ULB, State	Bonds issued by Municipal corporation	Amount released as incentive
1	Bhopal Municipal Corporation, Madhya Pradesh	175.00	22.75
2	Amaravati Municipal Corporation, Andhra Pradesh	2000.00	26.00
3	Greater Vishakhapatnam Municipal Corporation, Andhra Pradesh	80.00	10.40
Total		2255.00	59.15

Ex-post facto approval of the Apex Committee was solicited for release of funds to the aforementioned 3 ULBs for Municipal Bonds in 2018-19.

Decision of the Apex Committee:

The Apex Committee granted ex-post facto approval for release of Rs. 59.15 crore to the aforementioned three ULBs for Municipal Bonds in 2018-19 as per details mentioned above.

AGENDA 7. RELEASE OF FUNDS FOR FORMULATION OF GIS BASED MASTER-PLAN SUB-SCHEME UNDER AMRUT:

In its 20th meeting, Apex Committee granted ex-post facto approval to release of a sum of Rs.25.36 crore in FY 2018-19 under A&OE funds for implementation of the sub-scheme Formulation of GIS based Master Plan for AMRUT cities.

Thereafter, with the approval of Secretary (HUA), a sum of Rs.30.124 lakh has been further released to 3 States/UT for implementation of the sub-scheme as mentioned below:

Sl. No.	Name of the State / UT	Amount released (In Rs.)
1.	Andaman & Nicobar Islands	40,000
2.	Mizoram*	28,92,400
3.	Arunachal Pradesh*	80,000
Total		30,12,400

*-These amounts are released against second instalment while others are released towards first instalment.

Ex-post facto approval of the Apex Committee for release of funds for implementation of GIS based master-plan sub-scheme under AMRUT was solicited.

Decision of the Apex Committee:

Apex Committee granted ex-post facto approval to release of Rs. 30.124 lakhs for implementation of GIS based master-plan sub-scheme under AMRUT as per details mentioned above.

ANNEXURE

List of Participants in the meeting of the 21st Apex Committee under AMRUT held on 28.01.2019.

1. Shri Durga Shanker Mishra, Secretary (HUA) & Chairman (Apex Committee).
2. Shri Shiv Das Meena, Additional Secretary (AMRUT & Housing), MoHUA.
3. Shri Ajoy Sharma, Secretary (Local Government), Punjab.
4. Shri Gurjeet Singh Dhillon, Director (AMRUT-I), MoHUA.
5. Shri Vinay Pratap Singh, Director (AMRUT-II), MoHUA.
6. Shri Suneet Mehta, Deputy Secretary (AMRUT) MoHUA.
7. Shri V.P. Singh, GM (P), PMIDC.
8. Shri K. Rajendra Prasad, Chief Engineer, APUFIDC, Andhra Pradesh.
9. Shri P. Sateesh Kumar, Deputy Team Leader, AECOM, Andhra Pradesh.
10. Shri Satish R. Mangale, Deputy Team Leader, TCE, PDMC, Andhra Pradesh.
11. Shri M. Mani Srikant, Resident Engineer, AECOM, PDMC, Andhra Pradesh.
12. Mohd. Monis Khan, Town and Country Planner, TCPO.
13. Shri S. Surendra, ACP, TCPO.
14. Shri Devendra Dhapola, TL, PMU (AMRUT).
15. Shri Chandresh Kumar, Director, UDD, Uttarakhand.
16. Shri Ravi Pandey, SE, UDD, Uttarakhand.
17. Shri Arun Gupta, Principal Secretary, Urban Local Bodies, UT Administration of Chandigarh.
18. Shri Rajeev Kumar Das, Under Secretary, (AMRUT-IIB), MoHUA.
19. Shri Rohit Kakkar, Deputy Advisor, (PHE), CPHEEO.
20. Shri Naresh K. Dhiran, TCPO
21. Shri Debjani Ghosh, NIUA.
22. Shri Pankaj Kumar, Under Secretary (IFD-III), MoHUA.
23. Shri Harish Chandra Prasad, Under Secretary, (AMRUT-IIB), MoHUA.
24. Smt. Neha Singh, Assistant Director, (AMRUT), MoHUA.